

KANNUR UNIVERSITY

Ph.D Programme –Regulations

1. TITLE, APPLICATION AND COMMENCEMENT

- 1.1 These Regulations shall be called “**Regulations for Ph.D Registration and Award of degree of Doctor of Philosophy-2011**”.
- 1.2 These Regulations shall apply to the University Departments of Teaching and Research as well as approved Research Centres in affiliated colleges and other Research Centres recognized by the University for the purpose of research.
- 1.3 These Regulations shall come into force with effect from 01/01/2011.

2. ELIGIBILITY

- 2.1 Candidates who have secured 55% marks (or equivalent Grade) and above in their P.G. degree examination of this University or equivalent degree of any other Indian, foreign Universities recognized by Kannur University, shall be eligible to apply for the entrance examination for registration for Ph.D.
 - 2.1.1 The teachers of Government/Aided Colleges and University Teaching departments shall be given a relaxation of 5% marks.
 - 2.1.2 For SC/ST candidates, the minimum marks required for applying for Entrance Test is 50% (or equivalent grade) in the P.G. Examination.
 - 2.1.3 For physically challenged and OBC (Non Creamy Layer) candidates, the minimum marks required for applying for Entrance Test is 50% (or equivalent grade) in the P.G. Examination.
- 2.2 Candidates seeking admission to the Ph.D programme shall apply in the prescribed form to the Registrar of the Kannur University, on or before the last date mentioned in the notification.

2.3 Candidates have to qualify the entrance examination conducted by the University in order to register for Ph.D programme.

2.3.1 The following categories are exempted from the Entrance Test:

- (i) *Approved Government/Aided College Teachers and Teachers of University Teaching Departments.*
- (ii) *Self Financing (Unaided) College teachers working in the Colleges affiliated to Kannur University with five years continuous teaching experience at the college level.*
- (iii) *Those who have cleared JRF/Lectureship in the NET/GATE examinations conducted by such bodies as UGC, CSIR, ICAR and ICMR, and other similar institutions.*
- (iv) *Candidates who have secured the Research Fellowship of State/Central Government funding agencies.*
- (v) *Candidates having M.Phil Degree (in the subject concerned) obtained from a recognized University, through regular mode.*
- (vi) *Those selected to Rajiv Gandhi National Fellowship Scheme (RGNFS).*
- (vii) *Regular Scientists/Social Scientists/Research Staff in the service of recognized scientific research centres, owned or funded by the state/central government, who have completed at least three years of service.*

2.4 A candidate must have applied for registration within one year from the date of publication of the result of the Entrance Examination, subject to availability of Research Guide. In the event of non-availability of Research Guides, the validity of the Rank list shall be extended to two years.

3. ENTRANCE EXAMINATION:

3.1. An Admission Committee consisting of the following shall be responsible for the conduct of the Entrance Test.

- (i) Registrar (convener)
- (ii) Controller of Examinations
- (iii) Three Senior Faculty Members, including one from a Research Centre of an affiliated college of the University, nominated by the Vice-Chancellor.

3.2.1 Question papers for the written test based on the prescribed syllabus shall be prepared by the external experts in the subject concerned nominated by the Vice chancellor.

3.2.2 *The maximum marks for the entrance examination shall be 100 and consist of descriptive and objective type of questions. The duration of the entrance examination shall be three hours. Syllabus for the entrance examination shall be based on the PG syllabus concerned and based on the UGC/CSIR-NET/GATE Syllabus approved by the Vice-Chancellor.*

3.2.3 ***Question papers for the Entrance Examination shall consist of two parts. (Subject to changes as per the decision of the bodies concerned)***

I. **PART.A- 40 Marks(common for all subjects)**

In this part Research Aptitude of the candidate shall be assessed .Hence questions shall be framed to test the Research aptitude, Mathematical ability, Logical Reasoning, Communicative Skill and Computer Awareness of the Candidate. All questions are of Objective Type (Multiple Choice Questions) of equal weightage for each component.

II. **PART.B-60 Marks**

Questions shall be from the subject (on the basis of P.G.syllabus) concerned, based on the UGC/ CSIR/ NET/ GATE Syllabus, approved by the Vice-Chancellor. Questions are of Objective & Descriptive modes of equal weightage

3.3 Candidates who secure 50% marks and above in the entrance examination are eligible for registration for Ph.D programme. However, candidates belonging to SC/ST communities are eligible if they secure 35% marks in the entrance examination. Based on the marks scored in the entrance examination and the qualifying examination (50% weightage for each) the **rank list will be prepared and finalized giving preference to the candidates in the following order.**

a. ***Fellowship Awardees***

b. ***NET holder in the subject concerned***

c. ***Others (Entrance qualified candidates and candidates exempted from entrance test other than fellowship awardees.)***

d. ***Candidates already having Ph.D in another subject.***

3.3.1 For those candidates who are exempted from the Entrance Examination, their percentage of marks in the P.G.Examination (100% Weightage) may be considered for the preparation of the Rank List.

4. REGISTRATION

4.1 Registration to Course Work leading to Ph.D of the University is granted to candidates who are in the Rank list published by the University for that purpose, subject to availability of vacancies in the discipline concerned.

- 4.1.1 If SC/ST candidates included in the Published Rank list are not granted Ph.D. registration for want of vacancies, creation of additional slot will be considered to accommodate such candidates.
- 4.2 The Registrar shall issue orders granting registration for the Course Work leading to Ph.D degree. The date of registration to Ph.D programme will be the date on which the candidate joins the Department/Research Centre for the Course Work.
- 4.3 At the time of joining, the candidate has to pay prescribed fees and caution deposit fixed by the University.
- 4.4 A recognized Research Guide can guide a maximum of **8** (eight) Research Scholars at a time, including Part-time and Full-time scholars of all the Universities, where he/she is a Research Guide.
- 4.5 Candidates who were awarded Fellowship from various agencies and exempted from the Entrance Test prescribed for admission to Ph.D.programme as per para 2.3.1.,may apply for Provisional Registration, in the context of lapsing of the fellowship; for not being registered for Ph.D.programme during the period stated in the Fellowship Award Letter. Such candidates have to successfully complete the Course work immediately, to make the provisional registration absolute.
- 4.6 **The Fellowship Awardees will be considered for registration to Course Work directly, if the application in this regard is received from them (satisfying the conditions prescribed by the University from time to time), before the course work is started by the University. In the case of M.Phil degree holders, their applications will be considered for Ph.D registration directly, provided they have completed the formalities prescribed by the University for exemption to the coursework and the Chairman of the Doctoral Committee concerned recommended the exemption to the course work**
- 4.7 **If the University has already started the course work and the application for Course work is received during or after the Course work, the candidate may be considered for Provisional Registration to Ph.D programme, subject to completion of Course work later.**

5. COURSE WORK AND PRELIMINARY QUALIFYING EXAMINATION (PQE)

5.1 Candidate registered for Course Work leading to Ph.D degree shall undergo a Course Work of six months/**one semester** duration and appear for the Preliminary Qualifying Examination (PQE) within one year of getting registration.

5.1.1 Course work shall be conducted at the University Departments, with the Head of the Department concerned as the Co-ordinator, utilizing the resources and expertise of all the Research Guides of that subject attached to the University. For the subjects not offered by the **Department of University**, the University will identify the centres for the conduct of the course work with the Head

of the Research centre as Co-ordinator. All the Research Guides in the subject concerned shall ensure their effective participation in the course work.

5.1.2 The candidates qualified in the Course Work should submit the certificate in this regard to the Ph.D section of the University, before continuing their Ph.D programme.

5.2 After the completion of the Course Work the candidate shall submit the Synopsis of the proposed research work. This should be done in consultation with any of the Research Guides in the Department/Research Centres under whom he/she intends to do the research work.

5.2.1 The Synopsis of not less than 4 pages and not exceeding 10 pages will consist of (i) Title of the proposed research work, (ii) Statement of the problem (iii) Objectives of the study, (iv) Methodology (v) Review of literature (vi) Chapter Scheme, within a period of 30 days from the completion of the course work. The Synopsis (six copies) along with the application and the chalan receipt in original for the remittance of fee for the Ph.D. registration, fixed by the University from time to time shall be submitted to the **Course Co-ordinator** of the University.

5.2.2 In the case of teaching Departments of the University, the Doctoral committee will be convened by the course co-ordinator by intimating the same to the Registrar. In the case of other Research Centres, the Registrar shall convene the Doctoral committee meeting

5.3 There shall be a Departmental Doctoral Committee(DOC) for those subjects offered by University Departments consisting of (i) the Head of the Department, who shall be the Chairman of the Committee,(ii) Supervising teacher of the candidate and(iii) two Research Guides in the subject concerned nominated by the Vice-Chancellor. In the case of **subjects not offered** by University Departments one research guide may be from the centre and the other from any of the approved Research Centers/Department nominated by the Vice-Chancellor and **Chairman of the Doctoral Committee may also be nominated by the Vice Chancellor**. Three members shall constitute a quorum for the meeting and no business shall be transacted at a meeting of Doctoral Committee (DOC) at which supervising teacher is absent. If the Head of the Department is not a Research Guide, one of the Research Guides shall be the Chairman of the Doctoral Committee.

5.4 The candidate shall present the synopsis before the Doctoral Committee on a date and time fixed by the committee. If found necessary after discussion with the candidate, the Doctoral Committee shall suggest modifications in the **synopsis**. After modification, if any, the candidate shall submit the revised synopsis

within a period fixed by the DoC, to the Chairman of the DoC, who shall forward the application to the Registrar of the University.

5.5 On successful completion of the Course Work and within one year after registration the scholar shall be permitted to appear for the PQE consisting of examination for paper I and II, dissertation and viva voce. The candidates have to submit the required application in the prescribed form accompanied by a chalan receipt for the PQE fee (Fixed by the University from time to time).PQE shall be conducted by the Controller of Examinations/Co-ordinator.

5.5.1. The Supervising Teacher of the research scholar shall submit a Panel of at least 6 Experts in their field of specialization for conducting viva voce and evaluation of dissertation(with a brief bio data full postal address, designation phone number and e-mail-ID), to the Controller of Examinations.

5.5.2. The dissertation shall be valued by an external expert nominated by the Vice Chancellor, from a panel consisting of at least 6 examiners submitted by the supervising teacher.

5.5.3. The maximum marks for PQE shall be 300 and will consist of (i) two theory papers of three-hour duration **with**100 marks each (ii) dissertation and viva-voce with equal weightage (50 marks each). Of the two papers, one shall be methodology and the other shall be **Core subject of the discipline in which Ph.D to be awarded**

5.5.4. For the successful completion of the course work and PQE the candidate has to secure a minimum of 50% marks or the equivalent grade. The qualified candidates shall be issued a **certificate** by the Controller of Examinations, informing them the eligibility to continue his/her research work.

5.6. On the basis of the performance in the written examination and dissertation, a scholar is judged to have completed successfully the course work and the preliminary qualifying examination.

5.7.Regular M. Phil. Degree holders of a **recognized University** are exempted from the Course Work and Preliminary Qualifying Examination **based on the recommendation of the Chairman of the Doctoral Committee**

5.8. The Course Work programme shall be held only once in a year and once the candidate registers for the Course Work, he/she has to qualify the Course Work examination within two years of the date of

registration of Course Work and with a maximum of three attempts. There will be no revaluation for the PQE.

6. SUBMISSION OF THESIS

6.1 The Full-time/Part-time research scholars shall submit his/her thesis after a minimum period of three years and within a maximum of 5 years for full time scholars and 6 years for Part-time scholars from the date of registration.

6.1.1. One year extension shall be granted by the Vice-Chancellor to both full-time and part-time scholars, on the recommendations of Doctoral Committee, if requested on reasonable grounds in the prescribed application form accompanied by a chalan receipt of remittance of an extension fee fixed by the University.

6.1.2. Candidates who fail to submit the thesis even within extended period shall seek re- registration on the recommendations of the Doctoral Committee.

6.2 A research scholar before submitting the thesis shall present a pre-submission seminar in the Department/Research Centre, which will be attended by the Members of the Doctoral Committee, other Faculty Members, Research Scholars and students. A copy of the proceedings of the Seminar, approved by the Research Guide and countersigned by the Head of the Department/Research Centre shall be submitted along with the application for evaluation of Ph.D thesis.

6.3 During the period of research, the scholar has to publish at least one research paper in a peer reviewed/refereed journal/**journal or edited volume with IISN/ISBN** or an equivalent publication in the area of his/her topic of research. **In those subjects where such journals are not available he/she may be permitted to publish the research paper in the journal approved by the University.** A copy of the research paper/acceptance letter from the journal must be submitted along with the application for evaluation of the Ph.D thesis.

6.4 The candidate shall submit ten copies of the abstract of the thesis, signed by the Research Guide through the Head of the Department/Research Centre, to the Controller of Examinations, one month prior to the submissions of the thesis.

6.5 The supervising teacher shall forward the abstract along with a panel of at least 12 experts (with the name, designation and address, telephone numbers and e-mail address and a brief Bio-data) from academic /**Research** institutions.

While preparing the panel the following guidelines may be followed.

1. The panel of examiners shall be prepared by the supervising teacher and forwarded to the University **through the Chairman** of the Doctoral Committee.
2. No teacher working in/retired from any of teaching or Research Centres of the University/affiliated colleges of the University be included in the panel. Similarly no experts who are working in the same Department/College/University/ Institute of the Research Guide concerned be included in the panel.
3. Not more than 4 teachers from one state be included in the panel. However, in subjects like Malayalam/Hindi/Sanskrit/Arabic/Urdu/Kannada this may not be insisted.
4. Experts having less than 10 years teaching/research experience shall not be included in the panel.
5. The Vice-Chancellor shall constitute a committee comprising three examiners from the panel provided by the supervising teacher, for the evaluation of the thesis.
6. The Vice Chancellor shall have power to modify the panel in consultation with Professor /Chairperson of the BOS of the subject concerned, if he is satisfied that the panel submitted by the Supervising Teacher is not **in accordance with rules and regulation**.

6.6 The final thesis shall be adjudicated by a board of three examiners including at least one from outside the State, appointed for the purpose by the Vice Chancellor, from the panel submitted by the Supervising teacher. Among the three adjudicators one shall be appointed as the chairman of the board.

6.6.1 Each adjudicator shall submit a detailed report on the merit of the research work and state whether it is recommended for the award of the Ph.D degree.

6.6.2 If one of the adjudicators does not recommend the thesis for the award of Ph.D, the thesis shall be referred to the Additional Adjudicator and his report shall be accepted. If two adjudicators do not recommend the thesis for award of degree, the candidate shall be asked to resubmit the thesis after a period of six months.

6.6.3 *The candidates whose thesis has been recommended for the award of Ph.D degree by the adjudicators shall present his/her work in an "Open Defence" seminar chaired by one of the board of adjudicators and convened by the supervising teacher.*

6.6.4 *The report of the adjudicators along with proceedings of Open Defence shall be submitted to the Controller of Examinations by the Supervising teacher of the scholar. On the basis of this the Ph.D degree shall be awarded by the Syndicate of the University.*

6.7 If a candidate fails to submit the thesis within the stipulated time after submission of the abstract, he/she shall remit a fine fixed by the University, at the time of submission of the thesis.

6.8 The following documents shall be submitted along with the Ph.D thesis to the Controller of Examinations:

1. *Application in the prescribed format.*
2. *Copy of the registration order issued by the University.*
3. *Copy of the **Certificate issued by the University/Certificate for exemption with regard to the preliminary qualifying examination.***
4. *Original chalan receipt for the remittance of fee prescribed by the University from time to time*
5. *No-dues certificates from the hostel, libraries and Department/Research Centres.*
6. *Copy of degrees certificate (P.G), M.Phil certificate and equivalency certificate if necessary.*
7. *Matriculation/Recognition certificates if necessary.*
8. *Declaration signed by the candidate that it has not previously formed the basis for the award of any degree, diploma, associateship, fellowship or title or recognition.*
9. *A certificate from the supervising teacher that the thesis is a bona fide research work carried out by the candidate under his supervision.*
10. *A certificate from the Supervising Teacher that the Journal in which the article published by the Scholar is a peer reviewed, University approved one and the attested copy of the article.*
11. *Attendance certificate in the prescribed format attested by the supervising teacher and the Head of the department/research centre.*

6.9 The candidates shall submit 4 hard copies and 2 soft copies of the thesis to the Controller of Examinations of the University along with the all the necessary enclosures 1 to 11 mentioned above.

6.9.1. **Copy** of all enclosures (1 to 11) mentioned above shall be submitted to the Registrar of the University.

7. PART- TIME REGISTRATION

7.1 Teachers of Affiliated Colleges/University Departments/Higher Secondary Schools, candidates appointed as Research Fellows or Research Assistants in Research Projects run in the University Departments/Affiliated Colleges/Research Institutes and Scientists working in the Research Institutes, Technical experts working in analytical laboratories, members of any statutory professional institutes approved by the Government of India, Permanent employees of Kannur University, Clinical Psychologists working in Govt. Medical Colleges

and Govt. Hospitals, High School and DIET Teachers satisfying the eligibility criteria for admission as stated under 2.1 of the Regulations are eligible to apply for part-time registration for doing research and such candidates are to undergo six months regular compulsory Course Work.

7.2 The Part-time research scholars **doing Ph.D** in the University Departments/ Research Centres shall attend the Departments/Research Centre for at least 60 days per year, **other than the period of Course Work.**

7.3 The Rules and Regulations related to the assessment of progress of research, appearance in the Preliminary Qualifying Examination, procedure for thesis evaluation, conduct of Open Defence, viva-voce, etc., **for Part Time Research Scholars** shall be as specified under relevant clauses **of the revised Regulations** applicable to the candidates under Full-time Research stream.

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Appendix-1

Guidelines for the conduct of the Course Work leading to Ph.D programme (Approved by the syndicate vide item no.2012.449 dt. 12-06-2012)

1. Course Work is mandatory to all Ph.D. programmes with effect from July 2009 session onwards as insisted by the UGC. Candidates, who have been granted Ph.D registration in the University during the period between 11-07-2009 and 01-01-2011, may clear the Course Work Examination taking three attempts. Such candidates have to apply for the admission to Course Work Examinations, in the format prescribed.
 - 1.1 Candidates who had applied for Ph.D registration before the University Notification inviting application for Course Work leading to Ph.D programme as per the Revised Regulations effective from 01-01-2011 and granted Ph.D registration after 01-01-2011, may complete the Course Work along with the candidates registered for Course Work beginning from January 2012, after submitting formal application for admission to Course Work Examinations.
 - 1.2 The Course Work shall have duration of one Semester from the date of commencement. All the Research Scholars who have already been granted registration under the revised Regulations effective from 01-01-2011 shall be required to qualify the Course Work.
- 2 There shall be two theory papers for the Preliminary Qualifying Examination apart from dissertation and Viva Voce as part of the Course Work. The papers for the Preliminary Qualifying Examination shall be as follows:

PAPER I -RESEARCH METHODOLOGY (Separate for each discipline)- examination-external only	100 marks
Paper II -Core subject of the Discipline in which Ph.D to be awarded (Examination external only)	100 marks
Dissertation	50 marks
Viva Voce	50 marks
Total marks for Preliminary Qualifying Examination	300 marks

Examination for papers I and II shall be held at the end of 6 months of starting the Course Work and after that Dissertation is to be submitted and Viva Voce conducted within one year. External examination for paper I, Paper II **shall be conducted by the Course Co-ordinator or the persons entrusted by the Vice-Chancellor.** Evaluation of dissertation and Viva Voce shall be conducted by Controller of Examination within one year of commencement of Course Work. The Course Work programme shall be held only once in a year and once the candidate registers for the Course Work, he/she has to qualify the Course Work

examination within two years of the date of registration of Course Work and with a maximum of three attempts. There will be no revaluation for the PQE.

- 3 After appearing for the two theory papers of the Course Work examination, the candidates registered for Course Work shall submit the synopsis of the proposed research work within a period of 30 days to the Course Co-ordinator who shall forward the same to the Chairman, Doctoral Committee. In the case of Research Centres of the University teaching Departments, the Doctoral Committee will be convened by the Course Co-ordinator under intimation to the Registrar. In the case of other research centers, the Registrar shall convene the Doctoral Committee meeting.
4. Those candidates who pass the Preliminary Qualifying Examination as a part of the Course Work will be given registration to Ph.D programme.
5. A candidate securing not less than 50% marks in each paper shall be declared to have passed the Preliminary Qualifying Examination. For a pass in Dissertation and Viva-Voce, the candidate shall secure not less than 50% marks put together. The pass marks secured in Dissertation and Viva-Voce will be retained in the case of candidates who fail in Paper I and II. The result will be published by the Controller of Examinations after consolidating marks of Paper I, Paper II, Dissertation and Viva voce.
6. Regular M.Phil Degree holders of a recognized University are exempted from Course Work and Preliminary Qualifying Examination, based on the recommendation of the Chairman Doctoral Committee.
 - 6.1 The M.Phil degree holders should submit the application for registration to Course Work leading to Ph.D.programme, in the format prescribed by the University, by remitting the fee (i.e, cost of application and fee for registration of the application) and within the time limit mentioned in the University Notification.
 - 6.2 They have to produce their M.Phil dissertation/synopsis of the M.Phil and 3 copies of the Synopsis of the proposed research under Kannur University, leading to Ph.D.degree together with an application for exemption from Course Work and with the processing fee prescribed by the University. The Application shall be addressed to the Registrar, Kannur University.
 - 6.3 The eligibility for exemption from the Course Work leading to Ph.D programme shall be decided by the Chairman of the Doctoral Committee concerned.
7. The results of the Preliminary Qualifying Examination of the Course Work shall be published by the Controller of Examinations after the evaluation of dissertation and Viva-Voce.

8. The syllabus for the Paper I and II of the Course Work, prepared by the Doctoral Committee concerned, shall be submitted for the approval of the Vice-Chancellor.
9. Dissertation shall be evaluated by an Examiner appointed by the Vice Chancellor from the panel submitted by the Research Guide. The Viva Voce shall be conducted by the same examiner who evaluated the dissertation along with the Research Guide.
10. Procedure to be followed in the case of Research Scholars who were registered for Ph.D programme between July 2009 & January 2011.
 - 10.1. Those Research Scholars who were granted Ph.D registration between July 2009 & January 2011 and who have already qualified in the Preliminary Qualifying Examination of their Ph.D programme conducted by the Controller of Examination, Kannur University and those Research Scholars who have submitted their Preliminary Written Account satisfying the condition prescribed, are required to appear and pass the examination of paper I Research Methodology mentioned in Clause 2 of the Guidelines.
 - 10.2. Those candidates, other than those mentioned in Clause 10.1, who were granted Ph.D registration between July 2009 and January 2011 and candidates who applied for registration as per earlier notification of the University and eligible for Ph.D registration shall appear and pass Preliminary Qualifying Examination of Paper I and II mentioned in Clause 2 of the Guidelines.
 - 10.3. The above category of candidate have to secure not less than 50% marks in each paper for successful completion of Course Work and Preliminary Qualifying Examination and will be declared to have successfully completed the Course Work.
 - 10.4. In the case of M.Phil Degree holders who were granted Ph.D registration between July 2009 and January 2011 exemption from Course Work can be granted based on the recommendation of the Chairman of the Doctoral Committee.
 - 10.5. Those Research Scholars who were granted Ph.D registration between July 2009 and January 2011 will be given two chances to clear the papers.
 - 10.6. The Course Work Examination shall be conducted in July 2012 and December 2012 for the category of Research Scholars mentioned in sub-clauses 10.1 and 10.2.
 - 10.7. It shall be the duty of the Research Guide and Head of the Research Centre/Course Co-ordinator to furnish the details of all the Research Scholars registered under them who come under the purview of Clauses 10.1, 10.2, and 10.4 above to the Registrar and Controller of Examinations for necessary action.

11. As part of ensuring quality instruction in the Course Work programme, it shall be duty of the University to organize three weeks Research Methodology Work Shop. The senior most faculty member of the University Department in the subject included under the faculty has to be nominated as Course Co-ordinator of the Research methodology Workshop. The Research Methodology Work Shop will be organized under the following faculties.
- a. Faculty of Science and Technology
 - b. Faculty of Languages / Literature / Communication
 - c. Faculty of Humanities
 - d. Faculty of Social Sciences / Education / Law
 - e. Faculty of Arts and Fine Arts
 - f. Faculty of Commerce & Management Studies
 - g. Faculty of Medicine, Engineering , Ayurveda ,Health Sciences and Sports Sciences

It is mandatory that all those registered for the Course Work (other than those coming under clause 10 above) have to attend the Workshop.

12. The terms and conditions for the engagement of the Resource persons and Course Co-ordinators shall be at par with the terms and conditions followed by the ASC. The University shall release sufficient funds as advance to the Course Co-ordinators for organizing the Workshop.
13. For attending the Research Methodology Workshop the teacher candidates registered for Course Work shall be eligible for duty leave. No TA/DA will be paid for the participants. Fee will be collected from the participants.
14. These Guidelines shall be deemed to have come into force with effect from 01-01-2011 provided Clause 10 of these Guidelines shall be deemed to have come into force with effect from 11-07-2009.

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Appendix-2

GUIDELINES FOR PREPARATION OF RANK LIST AND ALLOTMENT OF CANDIDATES FOR COURSE WORK LEADING TO PH.D PROGRAMME

(Approved by the Syndicate vide item no.2012.449 dated 12-06-2012)

1. Guidelines for preparation of Rank list for Course Work

- 1.1 Fellowship Awardees will be granted registration to Course Work directly on the recommendation of Research Guide without considering whether they have applied for registration to Course Work in response to the Notification issued by the University or not. Hence, those applicants who come under the purview of above category have to submit application for Ph.D. registration with recommendation of the Research Guide for considering them for registration to Course Work.
- 1.2 The Rank List for registration to Course Work will be prepared and finalized giving preference to the candidates in the following order.
 - a. Fellowship Awardees
 - b. NET holder in the subject concerned.
 - c. Others
 - d. Candidates already having Ph.D. in another subject.
- 1.3 Those candidates who have P.G. Degree in a subject other than the subject or discipline in which they intend to do research leading to Ph.D. may be considered for ranking only after all other candidates having the same subject at P.G. level and at the proposed research level are considered and ranked.
- 1.4 NET holders in the subject other than the subject in which they intend to do research will not be given any preference.
- 1.5 In the case of applicants in the subject Medicine, since marks are not mentioned in the marklists of MD/MS examinations, all candidates are required to take Entrance Test and rank list will be prepared on the basis of marks in Entrance Test.
- 1.6 When more than one candidate have the same marks, preference will be given with reference to the Date of Birth.

2. Allotment of candidates in the rank list for registration to Course Work

Depending upon the vacancy under the Research Guides in each subject, the number of candidates eligible for registration to Course Work will be identified from the Rank List. Such candidates will be issued a memo instructing them to obtain consent from the Research Guides under whom vacancy has been

identified. Only those candidates, who produce willingness from Research Guide to supervise their research work, will be granted registration to Course Work.

The candidates registered for Course Work have to be monitored by the Research Guide (who has consented for the supervision) and also by the Course Co-ordinator in all respect of the progress of the Course Work. The registered candidates will be directed to report before the Course Co-ordinator of the Research Centre identified for the conduct of Course Work. The Research Guide, who expresses unwillingness to supervise any candidate who approaches him for permission, should specify the reasons for unwillingness/inability for supervising the candidate approached. Those candidates, who are not recommended by Research Guide for registration to Course Work, will be considered for allotment as and when vacancy arises in the subject under other Research Guide or as and when any other Research Guides expresses his willingness to supervise him. Other remaining candidates in the Rank List will be considered for registration to Course Work only after accommodating those candidates who have been denied willingness for registration, are granted registration to Course Work against the subsequent vacancies. Validity of the Rank List may be extended for two years to accommodate the candidates in the Rank List for registration to Course Work.

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